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STATE OF NEW YORK
DEPARTMENT OF STATE

APR 27 1989

[Signature]
Secretary of State

County
~~City~~
~~Town~~ of ERIE
~~Village~~

Local Law No. 6 of the year 19 89

A local law ~~amending Local Law 5-1986~~ ^(insert title) regulating home health care services provided to county residents pursuant to contracts between the County of Erie and the providers of home health care services.

Be it enacted by the ERIE COUNTY LEGISLATURE of the
(Name of Legislative Body)

County
~~City~~
~~Town~~ of ERIE
~~Village~~ as follows:

Section 1. Scope

This local law imposes staffing, training and experience requirements on providers of home health care services who seek to contract with the County of Erie. It provides for procedures by which the County of Erie shall monitor its contracts, and further provides the wherewithal to accomplish such monitoring. No person shall be eligible to contract with the County of Erie for the provision of home health care services unless it is shown that such person has met the minimum criteria as established in this local law. It is the intent of the legislature to act, consistent with state law, to require the highest standards of care to be provided by county contractors. Nothing contained in this local law shall be construed to regulate private, non-contractual or non Erie County government funded business.

(If additional space is needed, please attach sheets of the same size as this and number each)

Section 2. Definitions

Unless otherwise provided in this local law, the following terms shall have the meanings provided in this section:

a. "Home health care agency" shall mean a corporation, partnership, sole-proprietorship, not-for-profit corporation, voluntary association, or any person or group of persons which is engaged in providing to individuals, in a place or residence used as an individual's home, one or more of the following services directly:

- (i) personnel who provide professional nursing;
- (ii) home health aide services;
- (iii) personal care services;
- (iv) homemaker services;
- (v) physical, occupational or speech therapy;
- (vi) nutritional services;
- (vii) medical social services;

and which is qualified to operate in New York State by the New York State Department of Health.

b. "Certified home health care agency" shall mean a home health care agency which possesses a valid certificate of approval issued pursuant to the provisions of article 36 of the New York State public health law, or a residential health care facility possessing a valid operating certificate issued under article 28 of the New York State public health law which is authorized under article 36 of said law to provide a long term home health care program. Such an agency or facility must be qualified to participate as a home health agency under the provisions of title XVIII of the federal social security act.

c. "Home health aide services", "personal care services", "homemaker services", and "housekeeper/chore services" shall all be defined pursuant to state law and the rules, regulations and administrative directives issued by the New York State Department of Social Services and the New York State Department of Health.

d. "Home health care services" shall mean services provided by a certified home health agency and a home care services agency to individuals and families at their place of residence. Home care services may include: professional nursing, home health aide, personal care, homemaker, housekeeper/chore, physical, occupational or speech therapy, nutritional services and medical-social services.

e. "Department" shall mean the Erie County Department of Social Services.

f. "Commissioner" shall mean the Commissioner of the Erie County Department of Social Services.

g. "CASA" an abbreviation for Community Alternative Services Agency, shall mean an agency which provides assessment, care plan development and care management to persons of all ages who need long term care services, in order to assure that such persons receive the most appropriate services at the least possible cost.

h. "Home Health Review Unit" shall mean the unit within the Erie County Department of Social Services which is charged with the responsibility of implementing and enforcing the mandates of this local law and any rules, regulations and administrative directives governing the services to be rendered.

Section 3. Minimum standards for operation of a home health care agency.

No home health care agency shall be entitled to contract with the County of Erie unless it meets the minimum standards established herein. Moreover, no certified home health care agency shall contract with the County of Erie unless it meets certain standards specifically addressed herein.

a. Administration

1. Administrator/executive director. A home health care agency shall have in its employ a paid full-time administrator/executive director who has overall control and supervision of the provider agency within Erie County and who shall possess a minimum of a bachelor's degree in business administration, health, social services, or a related field and have a minimum of two years prior experience in a managerial or supervisory capacity in the health care field.

2. Director of nursing. A home health care agency shall have in its employ a full-time director of nursing who possesses a current New York State RN license and a minimum of a bachelor's degree and who has a minimum of three (3) years prior experience including (1) year of prior experience working in the home health care field and at least one (1) year prior experience in a supervisory capacity in the health care field.

The primary functions of a director of nursing shall include but not be limited to planning, organizing, directing and coordinating with respect to client services and establishing and evaluating quality assurance controls for the agency.

3. Nursing supervisor. A home health care agency shall have in its employ at least one full-time equivalent nursing supervisor for every one hundred fifty (150) active cases. The nursing supervisor shall possess a current New York State RN license and have at least two (2) years prior experience in the health care field including one (1) year experience in a supervisory capacity.

The primary functions of the nursing supervisor shall include but not be limited to supervising and evaluating field personnel, monitoring services provided to ensure high quality service delivery, providing on-the-job training and advising the County of Erie of suggestions for change in existing care plans.

4. Personnel screening. a. Each home health care agency and certified home health care agency under contract with the County of Erie to provide home health care services shall be responsible for: the recruitment of appropriate personnel; verification of credentials and references; review of criminal record information; screening of all current and prospective personnel; and selection and hiring of all personnel necessary to furnish home health care services. The screening program shall include, but not be limited to, the following requirements:

(1) each applicant for a position in the home health care agency shall be interviewed by a person at the supervisory/managerial level in the employing agency to assess the applicant's background, maturity, emotional and mental stability, attitude and ability to apply learning skills;

(ii) the employing agency shall require the applicant to produce identification and shall be responsible for verifying such identification;

(iii) the employing agency shall require all applicants for employment to submit references from his/her two most recent employers or, if fewer than two employers, a combination of employer or character references from a former or current teacher, clergyman or other professional;

(iv) the employing agency shall conduct reference checks on all applicants.

b. The employing agency shall conduct a review of the criminal records and pending criminal actions against all current personnel or prospective personnel.

(i) Each home health care agency and certified home health care agency contracting with the County of Erie is required to have the records of all current and prospective employees checked for criminal histories by the Erie County Department of Central Police Services.

(ii) Prior to employment, and as a condition of continued employment, the contractor shall obtain written consent from all current and prospective home health care personnel for criminal record history by the Erie County Department of Central Police Services. Denial of such consent shall be grounds for dismissal or refusal to hire.

(iii) Each agency shall, in accordance with the standards established by Article 23-A of the New York State Correction Law, review continuing the employment of any present employee or hiring of any prospective employee discovered to be previously convicted of one or more criminal offenses.

(iv) Any failure to comply with the provisions of paragraphs (i) and (ii) of this subdivision shall be a material breach of the contract sufficient to cause termination under Section 7 of this local law.

5. Photo identification cards. A home health care agency and a certified home health care agency shall issue a photo identification card to each of its employees. The card shall show the name and current position or title of the individual, and the name of the agency with which the individual is employed. The card shall be the property of the employing agency and the employee shall sign a statement that it will be returned upon termination of employment.

6. Employee health screening. A home health care agency and a certified home health care agency shall be responsible for complying with the minimum criteria governing physical examination, immunization and testing for employees and applicants for employment as established by the rules, regulations and directives of the New York State Department of Health and the Erie County Department of Health. A home health care agency shall have a system for assuring the provision of physical examinations including immunization and other required testing and also for documenting the results of said examination and testing.

7. Administrative supervision of agency personnel. A home health care agency and a certified home health care agency shall have a program for providing administrative supervision to all agency personnel who are assigned to provide services to clients pursuant to a county home health care contract in conformance with rules, regulations and administrative directives as established by the County of Erie and/or the State of New York.

8. Clinical supervision of agency personnel. Any certified home health care agency contracting with Erie County, together with CASA as the unit of county government designated for this purpose, shall provide clinical nursing supervision to home health care agency aides who are assigned to provide services to clients pursuant to a county home health care contract in conformance with rules, regulations and administrative directives as established by the County of Erie and/or the State of New York.

9. Personnel records. A home health care agency and a certified home health care agency shall maintain personnel.

records for all agency personnel who are assigned to provide services to clients pursuant to a county home health care contract in conformance with rules, regulations and administrative directives as established by the County of Erie and/or the State of New York. At a minimum, such records shall include:

(i) a completed employment application and other satisfactory proof of the date on which the person was hired and written documentation of the employee interview;

(ii) written documentation of the employee's references and the criminal records checks which were conducted on the employee;

(iii) documentation that the employee meets orientation, basic training, in-service and on-the-job training requirements as established by the County of Erie and New York State;

(iv) where applicable, satisfactory proof that the employee meets competency testing requirements as may be established by New York State;

(v) copies of any complaints which have been filed against the employee and written information showing how such complaints were resolved;

(vi) copies of all job performance evaluations of the employee;

10. Employee manual. A home health care agency and a certified home health care agency shall develop a written employee manual which shall include provisions clearly explaining the agency's personnel policies and existing policies, procedures or requirements with respect to at least the following:

(i) orientation, basic, in-service and on-the-job training;

(ii) descriptions and responsibilities for all positions utilized by the agency;

(iii) initial and periodic physical examinations and other employee health testing requirements;

(iv) supervision and job performance evaluations;

(v) services provided;

(vi) client care, treatment and record-keeping;

(vii) client safety and emergency care, including information on after-hours emergency care;

(viii) administrative record-keeping

(ix) client rights;

(x) tardiness, hiring, firing, disciplinary action, employee accidents/injury, employee benefits and employee counseling procedures;

(xi) dress code;

(xii) criminal records review procedures;

(xiii) photo identification requirements;

(xiv) penalties as they relate to theft, patient abuse and neglect, substance abuse and fraudulent time reporting by any agency employee;

(xv) assignments/scheduling;

(xvi) transportation requirements;

(xvii) any other information as required by the County of Erie and New York State.

The manual shall be reviewed at least annually, and any revision thereto shall be distributed to all agency employees. The agency shall distribute the employee manual to a new employee upon employment with the agency. The home health care agency and the certified home health care agency shall be responsible for submitting a copy of its employee manual and any revision thereto to the County of Erie.

11. Insurance coverage. A home health care agency and a certified home health care agency shall procure blanket bond insurance, third party fiduciary coverage, general and automobile liability insurance, medical malpractice insurance and worker's compensation insurance in such form and amounts as may be prescribed by the County of Erie. Such insurance shall include the County of Erie as a named insured, and the agency shall provide the county with certificates of insurance prior to entering into any contract with the county.

12. Records and reports. a. A home health care agency shall ensure that copies of all records and reports as required by New York State and the County of Erie are retained on file at the agency's principal administrative office in the County of Erie and/or at each branch office of the agency in Erie County.

b. Staff development

1. Development of training plans. A home health care agency's training plans, including its plans for employee orientation, basic training, in-service training, on-the-job training and evaluation of overall job performance shall be developed in accordance with such training and employee evaluation standards as established by the County of Erie and New York State.

2. Approval of training plans required. A home health care agency's training plans, including its plans for orientation, basic training, competency testing, in-service, on-the-job training and evaluation of overall job performance shall have been approved by New York State. A home health care agency shall ensure that all personnel who are assigned to provide services pursuant to a county home health care contract have successfully completed training or competency testing in conformance with a New York State approved training plan.

3. Orientation. A home health care agency shall directly provide at least a three (3) hour orientation session to each home health aide, personal care aide, homemaker or housekeeper/chore aide prior to the start of an individual's employment and shall, at the minimum, introduce new employees to their responsibilities and to the structure, organization, overall programs, policies and procedures of the home health care agency. The orientation session shall be held in addition to prescribed basic training and shall also serve as a forum for reviewing the employee orientation manual with new employees.

4. In-service training. a. In addition to complying with any in-service training requirements for home health aides, personal care aides and homemaker service aides, as required by New York State, effective six months after the enactment of this local law, any provider of home health care services for the

County of Erie shall require its housekeeper/chore aides to complete a minimum of three hours in-service training every six months from the effective date of this provision.

b. In-service training may be furnished directly by the employing home health care agency, another home health care agency, a certified home health care agency or an independent third party institution whose in-service training programs are consistent with course content required by the appropriate departments of New York State and/or Erie County.

c. In-service training shall be provided to develop skills or knowledge not included in basic training or to review or expand skills or knowledge included in basic training. Content of the in-service training shall be relevant to the job of the employee delivering home health aide, personal care, homemaker or housekeeper/chore services.

5. On-the-job training. a. A home health care agency shall require all employees who provide home health aide, personal care, homemaker or housekeeper/chore services, to complete a minimum of two on-the-job training sessions on an annual basis.

b. On-the-job training shall be furnished directly by the employing home health care agency and shall be provided to an employee in a client's home by a licensed registered nurse. On-the-job training shall be used to instruct the employee in a specific skill or technique, or to assist the employee in resolving problems in individual care situations.

Notations as to an aide's performance during on-the-job training shall be documented in the aide's personnel file.

6. Evaluation of overall performance. a. A home health care agency shall be directly responsible for completing an overall job performance evaluation for each employee providing home health aide, personal care, homemaker or housekeeper/chore services. An evaluation of each employee's overall job performance shall be completed at least once every six months.

b. Employee evaluations shall be completed by a registered professional nurse employed by a home health care agency to provide nursing and/or administrative supervision.

Such evaluation shall be based upon, at a minimum;

- (i) on-site visitation;
- (ii) a private interview with the client and/or client representative;
- (iii) a private interview with the aide;
- (iv) certification that on-the-job training was completed;
- (v) verification of aide's current compliance with all training and health status requirements;
- (vi) review of aide activity logs, when available; and review of aide personnel file.

7. Documentation of training. a. A home health care agency shall issue a dated certificate to each employee who has successfully and fully completed a basic training program and shall maintain the basic training certificate in the employee's personnel record. The basic training certificate issued by a home health care agency shall conform to the uniform basic training certificate regulations pertaining to content and format as established by the County of Erie and/or the appropriate department of New York State.

b. A home health care agency shall maintain documentation to support participation of an employee in the required orientation session; in-service training and on-the-job training in each employee's personnel record. Documentation may be a card, letter, or notation on a training record, detailing the orientation, in-service and on-the-job training history.

c. A home health care agency shall maintain attendance records as back-up support for the documentation of an employee's orientation.

d. A home health care agency providing in-service training directly or by arrangement with another home health care agency, a certified home health care agency or an approved independent, third party institution shall establish policies and procedures to ensure that attendance records are maintained as back-up support for the documentation of an employee's in-service training.

e. A home health care agency providing basic training directly or by arrangement with an approved independent, third party institution shall establish policies and procedures to maintain records of an employee's attendance at all scheduled and make-up basic training classes. Attendance records shall be used as back-up support for the documentation of an employee's basic training. An employee's numerical grades and descriptive ratings on:

(i) Written exams and quizzes;

(ii) oral quizzes;

(iii) practical demonstrations of skills;

(iv) instructor observations of overall performance, attitude and work habits;

(v) preparation of assignments or home study materials and on any other basic training testing instrument shall also be documented and maintained.

f. A home health care agency shall make all orientation, in-service and basic training attendance records and all scored and dated basic training testing instruments available to the County of Erie for monitoring and audit purposes.

8. Documentation of competency testing. a. A home health care agency shall document an employee's related experience or training, competency testing results and participation in necessary remedial or additional basic training in accordance with the uniform competency testing standards as established by the state of New York.

b. A home health care agency shall issue a dated competency testing certificate to each employee who has successfully completed competency testing and shall maintain the competency testing certificate in the employee's personnel record. The competency testing certificate issued by a home health care agency shall conform to the uniform competency testing certificate regulations pertaining to content, size and format as established by the County of Erie and/or New York State. The competency testing certificate issued by a home health care agency shall indicate whether an employee is totally or partially exempt from basic training. When a home health care

agency issues a competency testing certificate which indicates an employee's partial exemption from basic training, the certificate shall state which basic training program components have been exempted.

c. The basic training certificate issued by a home health care agency to an employee whose competency testing results have partially exempted the employee from basic training shall state which topics of basic training have been successfully completed by the employee. This type of basic training certificate shall be maintained by the home health care agency in the employee's personnel record.

d. A home health care agency shall make all competency testing related records, including documentation of the required experience or training, and all scored and dated competency testing instruments available to the County of Erie for monitoring and audit purposes.

9. Other requirements. A home health care agency which provides home care services through a contractual purchase of services shall insure that these services are provided by qualified personnel who meet the applicable orientation, basic training, in-service training and on-the-job training criteria as established by the appropriate department of New York State and/or the County of Erie.

c. Provision of services

1. Services provided. A home health care agency and a certified home health care agency shall provide all services required to complete its obligations under any and all contracts entered into between itself and the County of Erie.

2. Service requirements. A home health care agency and a certified home health care agency shall:

(i) be able to provide services twenty-four hours per day, seven days a week, including holidays;

(ii) have a written plan which ensures essential service and back-up when the usual worker is not available;

(iii) have current written procedures and a plan to be followed by workers and other staff in case of an emergency to assure the health care needs of patients continue to be met in emergencies which interfere with the delivery of service. The home health care agency shall orient all employees to the emergency plan and their responsibilities in carrying out such plan. Said emergency plan shall be developed in conformance with any administrative directives, rules and regulations as established by New York State and/or the County of Erie.

3. Physician's orders. A home health care agency and a certified home health care agency and CASA, to the extent appropriate, shall comply with any requirements pertaining to physician's orders as established by New York State and/or the County of Erie.

4. Care plans. a. A home health care agency, a certified home health care agency, appropriate county departments or their contract agencies and CASA, to the extent appropriate, shall be responsible for complying with the minimum criteria with respect to client assessment reviews and client care plans which are established by the rules, regulations and directives of the New York State Department of Health and/or the New York State Department of Social Services.

b. A certified home health care agency and CASA to the extent appropriate shall have a licensed registered nurse who is an employee of the agency prepare a written assessment for each client of said agency who receives in-home professional nursing services, home health aide services, personal care services, homemaker services, housekeeper/chore services, therapy services, nutritional services and medical social services.

Said assessment shall, at the minimum, include a review of the physician's orders, if applicable, an evaluation of the specific needs of the client, development of a plan of care and recommendations for the summary of service requirements. The client care plan prepared by said agency shall, at the minimum, include an outline of the service needs of the client including the type of services needed, frequency and duration of services, and a regimen that will be followed in supervising the care provided to the client.

c. Any client care plan which is developed by a certified home health care agency and/or CASA shall serve as the working document for delivering services, and shall be posted by the provider in a conspicuous place in the client's home upon the initiation of services. The certified home health care agency and/or CASA shall update the client's care plan as often as the client's condition indicates, but at such regular frequency as required by the New York State and/or the County of Erie. A certified home health care agency and CASA shall maintain such care plan as a permanent part of the client record and shall forward same to the home health care agency assigned to the case.

d. A certified home health agency or a home health care agency shall report to the appropriate county contract agency case manager any change in the client's condition or family situation which might affect the client's approved plan of care.

5. Client records. a. A home health care agency shall establish and maintain a confidential record for each client it serves. In addition to any information which may be required by the State of New York for inclusion in a client's records, the record shall contain: appropriate identifying information concerning the client; documentation of the client's receipt of the client home care manual; conditions or reasons for which care is offered; written evidence of any complaints or grievances which a client filed with the home health care agency; and any other information as required by the County of Erie.

b. A certified home health agency and CASA to the extent appropriate shall be responsible for complying with the minimum criteria with respect to the establishment and maintenance of client records which are established by the rules, regulations and administrative directives of the New York State Department of Health, the New York State Department of Social Services and/or the County of Erie.

c. Each client's record shall be kept on file in the home health care agency office, certified home health care agency office or CASA office for at least seven years from the date of discharge from the home health care agency or for such longer period as required by State regulations.

Section 4. Contract requirements and procedures

a. The County of Erie shall contract with only home health care agencies which are qualified to operate in New York State by the New York State Department of Health. In the event the state health department rejects an agency's licensure application, which was filed prior to October 1, 1985, the County of Erie shall terminate its contract with such agency within seven (7) days of such decision, and such agency shall not be eligible to contract with the County of Erie until such time it has been granted a license. Agencies which failed to file a licensure application with the state department of health by October 1, 1985, are barred from contracting with the County of Erie until such time it has been granted a license.

b. The County of Erie shall contract with only those home health care agencies which have provided home health care services over at least a two year period on a private or other pay basis within the geographic boundaries of Erie County, New York. Notwithstanding the foregoing, any home health care agency which is under contract with the county on the date of enactment of this local law and: (i) meets all staffing and training requirements promulgated pursuant to this local law; and (ii) has heretofore performed its contract to the satisfaction of the commissioner of social services, shall not be excluded from further contractual relations with the county for failure to provide services within the county for at least a two year period. In addition, this local law shall not be construed to require the discharge or reassignment of the administrator/ executive director or the director of nursing of a home health care agency for failure to meet the education requirements set forth at section three of this local law where the home health care agency is under contract with the County of Erie on the

effective date of this local law and such administrator/executive director or director of nursing was regularly employed by such home health care agency in that same position prior to the effective date of this local law.

c. Before contracting with any home health care agency for home health care related services, the Home Health Review Unit of the County of Erie shall: (i) establish and complete a checklist to assure itself the home health care agency has met each of the requirements in section 3 of this local law.

(ii) conduct an on-site visit to the office facilities of the prospective contracting home health care agency and observe the procedures used by the agency for implementation of the administrative, training and service requirements of section 3 above.

(iii) furnish the home health care agency with a complete set of all pertinent rules, regulations and administrative directives governing the services to be rendered;

(iv) furnish the home health care agency with the name and telephone number of a contact person and backup who will be available to answer home health care questions on a regular basis.

Section 5. Contract administration

- During the term of any contract entered into between the county of Erie and a home health care agency or a certified home health care agency to furnish home health care related services, the Home Health Review Unit of the County of Erie shall: (i) establish and carry out a monitoring system to assure continuing compliance with all federal, state and county laws, rules, regulations and administrative directives as they are issued;

(ii) furnish to contractors, on a continuous and regular basis all amendments to federal, state and county rules, regulation and administrative directives as they are issued;

(iii) at least once annually conduct an on-site visit to the office facilities of each contractor to monitor and audit the procedures used by the agency to assure compliance as set forth in section 5 (i) above.

Section 6. The role of CASA

The Community Alternative Services Agency shall:

(i) provide assessment, care plan development and case management to persons of all ages who need services;

(ii) when appropriate, furnish nursing supervision, directly or by contract, both in the area of orientation and ongoing supervision as required by all federal, state and county laws, rules, regulations and administrative directives;

(iii) forward a client's care plan worksheet to the client and to the appropriate nursing personnel of the home health care agency prior to or upon the initiation of home health care services;

(iv) advise eligible recipients of the medical assistance program of their right to choose the provider agency of their choice;

(v) to the extent eligible recipients of the medical assistance program do not elect to choose any specific provider agency, assign cases on a fair and equitable basis to all provider agencies then under contract by using a round-robin system for new case referrals.

Section 7. Penalties

In the event of a finding of non-compliance with this local law, the commissioner shall have the right to:

(i) order immediate compliance;

(ii) suspend client referrals;

(iii) commence formal proceedings to terminate services;

(iv) take such other immediate or temporary action as under the circumstances seems appropriate for maintenance of client service.

Section 8. Coordination

The Erie County Commissioner of Social Services shall be responsible for the implementation of this local law and any regulations promulgated under it. All departments and administrative units of the county shall coordinate the administration of this local law so as to reduce to the greatest extent possible any duplication of administrative activities and expense.

Section 9. Additional functions of the Home Health Review Unit

In addition to the requirements imposed upon it in section 4 and section 5 above, the Home Health Review Unit of the County of Erie shall: (i) cooperate with CASA as it relates to the requirements set forth in section 6 above.

(ii) report to the Commissioner its findings with respect to any evaluation or investigation it performs of any home health care agency, a certified home health care agency, CASA, client of Erie County or any other person or agency which affects the delivery of services provided for under this local law;

(iii) make recommendations to the Commissioner of any penalties it seeks to impose for non-compliance with this local law and the regulations promulgated under it or for non-compliance with any federal or state laws, rules, regulations or administrative directives;

(iv) formulate, promulgate and amend from time to time as necessary, for the approval by the Commissioner, regulations which will implement the provisions of this local law and which will govern the provision of home health care services by the home health care agencies which contract with the County of Erie. Nothing contained herein shall preclude the promulgation of County of Erie regulations covering the subjects of basic training, competency testing or any relevant area not specifically referred to in this local law. A Notice of Public Hearing on any proposed regulation shall be published in the official newspapers of the county at least thirty (30) days prior to such public hearing. At the same time, a copy of said proposed regulations shall be filed with the clerk of the Erie County Legislature.

Within thirty (30) days of such public hearing, a final regulation containing any amendments, shall be published in the same manner and filed with the clerk of the Erie County Legislature. Said regulation shall be effective ten (10) days following publication.

(v) issue a written client's home care manual, including a comprehensive "bill of rights", which shall be distributed to each client or the client's representative at the start of services. The client's home care manual shall contain provisions covering at least the following:

(a) right to be informed of the name of the person supervising the client's care and of the manner in which that person may be contacted;

(b) client's or client representative's responsibility for participation in the development and implementation of the home health care plan;

(c) explanation of confidential treatment of all client information retained in the agency and the requirement for written consent for releases of information to persons not otherwise authorized under law to receive it;

(d) policy regarding client access to the clinical record;

(e) explanation of grievance procedure and right to file grievances with the agency without discrimination or reprisal from the agency or its employees;

(f) procedures for registering complaints with the County of Erie;

(g) right of the client to be notified as to whom will be providing services and their replacements thereafter;

(h) right of the client to be informed of all treatments and when and how services will be provided;

(i) right of the client to demand photo identification of agency personnel;

(j) clients shall be informed as to the necessity of keeping the care plan posted in a conspicuous place in the home and notifying the home health care agency in the event their aide does not report to work;

(k) right to recommend changes in policies and services to agency staff, county and state representatives or any outside representative of the patient's choice, free from restraint, interference, coercion, discrimination or reprisal.

(l) right to be treated with consideration, respect and full recognition of their dignity and individuality;

(m) any other information as required by the County of Erie and New York State.

(vi) establish procedures to promptly review and process any complaint which has been filed against a home health care agency or certified home health care agency under contract with Erie County. The Home Health Review Unit shall:

(a) formally notify a contract agency of any complaint which has been filed against it;

(b) grant the contract agency seven (7) days to respond in writing to any complaint notice;

(c) conduct an on-site review of agency office and/or records and/or visit client to investigate complaint;

(d) if warranted, recommend any sanctions to the Commissioner.

Section 10. Implementation of criminal records check requirement

Within forty-five (45) days of the effective date hereof the commissioner of central police services shall have in place a system for processing name checks of both current and prospective employees in such manner as will minimize backlogs and delays of service. The Department of Central Police Services shall charge a fee of at least five dollars for each name check provided.

Section 11. Advisory board

In recognition of the fact that the quality of the home care delivery system is dependent upon maintaining lines of communication between government agencies, health care and social services professionals and the public at large, an advisory board is hereby established under the direction of the county executive to continually evaluate the in-home health delivery system in Erie County. The advisory board shall consist of nine members

including the county commissioner of social services, the county commissioner of health the county commissioner of senior services, three individuals appointed by the county executive and three individuals appointed by the chairman of the Erie County Legislature. Each appointment of the county executive and the chairman of the county legislature shall be for a term of three years and such appointments shall include health care and social services professionals, home health care providers and community representatives. The chairman of the advisory board shall not be a county employee or officer. The advisory board shall be required to meet with representatives of home health care agencies at least twice annually. The advisory board shall, at a minimum:

- (i) assist in the development of Erie County's rules and regulations governing the delivery of home health care services;
- (ii) review and make recommendations with respect to Erie County's implementation of the home health review process.

Section 12. Current contracts

The Commissioner shall forward a copy of this local law, as well as any explanatory material relating to it, such material will not be considered duly adopted regulations, to each home health care agency and certified home health care agency contracting with the County of Erie within seven (7) days of the effective date of this local law. Such provider agencies shall have forty-five (45) days from receipt of the law to be in compliance with it.

Section 13. Construction

If any clause, sentence, subdivision, paragraph, section or part of this local law be adjudged by a court of competent jurisdiction to be invalid, such judgement shall not affect, impair or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, subdivision, paragraph, section or part thereof directly involved in the controversy in which such judgement shall have been rendered.

Section 14. Effective date

This local law shall take effect immediately.

(Complete the certification in the paragraph which applies to the filing of this local law and strike out the matter therein which is not applicable.)

1. (Final adoption by local legislative body only.)

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 19 _____
County _____
City _____
of the Town of _____ was duly passed by the _____ (Name of Legislative Body)
Village _____
on _____ 19 _____ in accordance with the applicable provisions of law.

2. (Passage by local legislative body with approval or no disapproval by Elective Chief Executive Officer,* or repassage after disapproval.)

I hereby certify that the local law annexed hereto, designated as local law No. 6 of 19 89
County _____
City _____
of the Town of ERIE was duly passed by the ERIE COUNTY LEGISLATURE (Name of Legislative Body)
Village _____
on March 16 19 89 ~~not disapproved~~ and was approved by the ERIE COUNTY EXECUTIVE (Elective Chief Executive Officer*)
~~repassed after disapproval~~
and was deemed duly adopted on April 12 19 89 in accordance with the applicable provisions of law.

3. (Final adoption by referendum.)

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 19 _____
County _____
City _____
of the Town of _____ was duly passed by the _____ (Name of Legislative Body)
Village _____
on _____ 19 _____ ~~not disapproved~~ and was approved by the _____ (Elective Chief Executive Officer*)
~~repassed after disapproval~~
on _____ 19 _____ Such local law was submitted to the people by reason of a
mandatory referendum, and received the affirmative vote of a majority of the qualified electors voting
permissive general
thereon at the special election held on _____ 19 _____, in accordance with the applicable
annual provisions of law.

4. (Subject to permissive referendum, and final adoption because no valid petition filed requesting referendum.)

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 19 _____
County _____
City _____
of the Town of _____ was duly passed by the _____ (Name of Legislative Body)
Village _____
on _____ 19 _____ ~~not disapproved~~ and was approved by the _____ (Elective Chief Executive Officer*)
~~repassed after disapproval~~
on _____ 19 _____ Such local law was subject to a permissive referendum and
no valid petition requesting such referendum was filed as of _____ 19 _____
in accordance with the applicable provisions of law.

*Elective Chief Executive Officer means or includes the chief executive officer of a county elected on a county-wide basis or, if there be none, the chairman of the county legislative body, the mayor of a city or village or the supervisor of a town where such officer is vested with power to approve or veto local laws or ordinances.

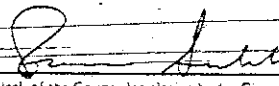
~~5. (City local law concerning Charter revision proposed by petition.)~~

~~I hereby certify that the local law annexed hereto, designated as local law No. of 19.....
of the City of having been submitted to referendum pursuant to the
provisions of ~~§36~~ ~~§37~~ of the Municipal Home Rule Law, and having received the affirmative vote of a
majority of the qualified electors of such city voting thereon at the ~~special~~ ~~general~~ election held on
..... 19..... became operative.~~

~~6. (County local law concerning adoption of Charter.)~~

~~I hereby certify that the local law annexed hereto, designated as local law No. of 19.....
of the County of State of New York, having been submitted to the Electors at the
General Election of November, 19....., pursuant to subdivisions 5 and 7 of section 33 of the
Municipal Home Rule Law, and having received the affirmative vote of a majority of the qualified electors
of the cities of said county as a unit and of a majority of the qualified electors of the towns of said county
considered as a unit voting at said general election, became operative.
(If any other authorized form of final adoption has been followed, please provide an appropriate certifica-
tion.)~~

~~I further certify that I have compared the preceding local law with the original on file in this office
and that the same is a correct transcript therefrom and of the whole of such original local law, and was
finally adopted in the manner indicated in paragraph above.~~

~~
Clerk of the County legislative body, City, Town or Village Council
Officer designated by local legislative body~~

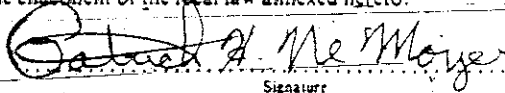
Date: APRIL 14, 1989

(Seal)

(Certification to be executed by County Attorney, Corporation Counsel, Town Attorney, Village Attorney or
other authorized Attorney of locality.)

STATE OF NEW YORK
COUNTY OF ERIE

I, the undersigned, hereby certify that the foregoing local law contains the correct text and that all
proper proceedings have been had or taken for the enactment of the local law annexed hereto.


Signature

ERIE COUNTY ATTORNEY

Title

Date: APRIL 14, 1989

County
of ERIE
District
of
Village